

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL  
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the  
 Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person* <b>Gazdak Jonathan</b> <small>(Last) (First) (Middle)</small> <b>C/O SUMMIT WIRELESS TECHNOLOGIES, INC., 6840 VIA DEL ORO STE. 280</b> <small>(Street)</small> <b>SAN JOSE, CA 95119</b> <small>(City) (State) (Zip)</small>	2. Issuer Name and Ticker or Trading Symbol <b>Summit Wireless Technologies, Inc. [WISA]</b> 3. Date of Earliest Transaction (Month/Day/Year) <b>10/07/2019</b> 4. If Amendment, Date Original Filed(Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)
6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/07/2019		M		3,138 <u>(1)</u>	A	\$ 0.8	52,156	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrants (right to buy)	\$ 5.4	10/07/2019		H		1,569		02/26/2017	02/26/2022	Common Stock	1,569	<u>(2)</u>	0	D	
Warrants (right to buy)	\$ 0.8	10/07/2019		P		1,569		10/07/2019	02/26/2022	Common Stock	1,569	<u>(2)</u>	1,569	D	
Warrants (right to buy)	\$ 0.8	10/07/2019		M		1,569		10/07/2019	02/26/2022	Common Stock	1,569	\$ 0	0	D	
Warrants (right to buy)	\$ 5.4	10/07/2019		H		1,569		02/28/2018	02/28/2023	Common Stock	1,569	<u>(2)</u>	0	D	
Warrants (right to buy)	\$ 0.8	10/07/2019		P		1,569		10/07/2019	02/28/2023	Common Stock	1,569	<u>(2)</u>	1,569	D	
Warrants (right to buy)	\$ 0.8	10/07/2019		M		1,569		10/07/2019	02/28/2023	Common Stock	1,569	\$ 0	0	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Gazdak Jonathan C/O SUMMIT WIRELESS TECHNOLOGIES, INC. 6840 VIA DEL ORO STE. 280 SAN JOSE, CA 95119	X			
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**Signatures**

/s/ Jonathan Gazdak		10/09/2019
<small>**Signature of Reporting Person</small>		<small>Date</small>

**Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the aggregate number of outstanding shares of common stock of the issuer received upon the reporting person's exercise of all common stock purchase warrants of the issuer (the "Warrant") reported in Table II above at \$0.80 per share on October 7, 2019.
- (2) Such transactions reported in Table II above reflect an amendment of a Warrant to reduce the exercise price of the Warrant from \$5.40 per share to \$0.80 per share. Such amendment is reported above as the cancellation of the original Warrant and the acquisition of a new Warrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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